

## 10.5 surfaces for Surface Integrals

### Representation of Surfaces

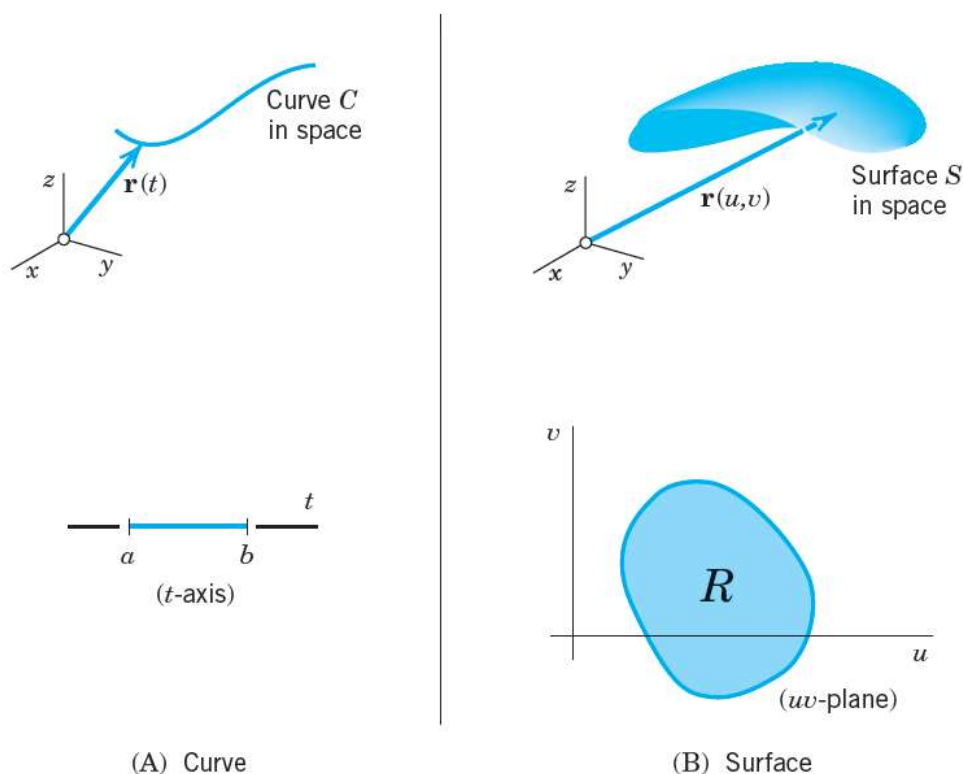
Representations of a surface  $S$  in  $xyz$ -space are

$$(1) \quad z = f(x, y) \quad \text{or} \quad g(x, y, z) = 0.$$

For example,  $z = +\sqrt{a^2 - x^2 - y^2}$  or  $x^2 + y^2 + z^2 - a^2 = 0$  ( $z \geq 0$ ) represents a hemisphere of radius  $a$  and center 0.

Now for *curves*  $C$  in line integrals, it was more practical and gave greater flexibility to use a *parametric* representation  $\mathbf{r} = \mathbf{r}(t)$ , where  $a \leq t \leq b$ . This is a mapping of the interval  $a \leq t \leq b$ , located on the  $t$ -axis, onto the curve  $C$  (actually a portion of it) in  $xyz$ -space. It maps every  $t$  in that interval onto the point of  $C$  with position vector  $\mathbf{r}(t)$ . See Fig. 241A.

Similarly, for surfaces  $S$  in surface integrals, it will often be more practical to use a *parametric* representation. Surfaces are *two-dimensional*. Hence we need *two* parameters,



**Fig. 241.** Parametric representations of a curve and a surface

which we call  $u$  and  $v$ . Thus a **parametric representation** of a surface  $S$  in space is of the form

$$(2) \quad \mathbf{r}(u, v) = [x(u, v), y(u, v), z(u, v)] = x(u, v)\mathbf{i} + y(u, v)\mathbf{j} + z(u, v)\mathbf{k}$$

where  $(u, v)$  varies in some region  $R$  of the  $uv$ -plane. This mapping (2) maps every point  $(u, v)$  in  $R$  onto the point of  $S$  with position vector  $\mathbf{r}(u, v)$ . See Fig. 241B.

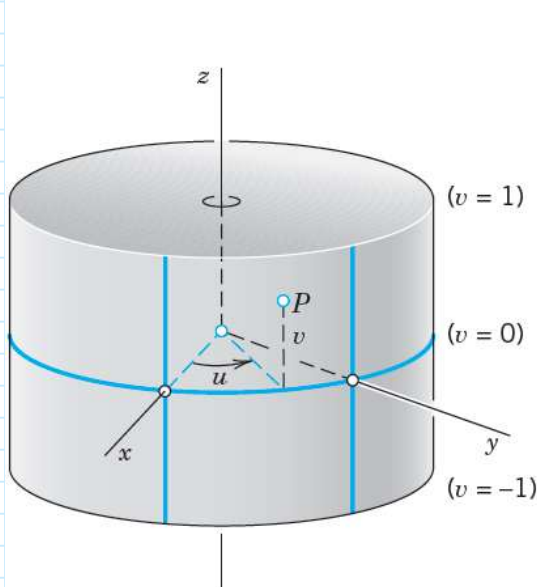
## Example 1.

### Parametric Representation of a Cylinder

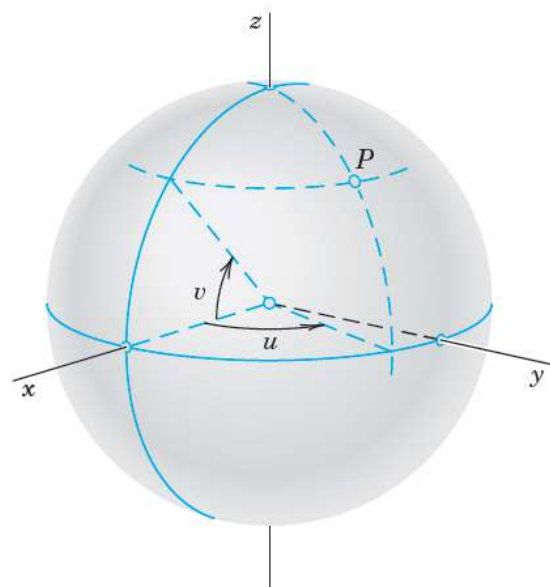
The circular cylinder  $x^2 + y^2 = a^2$ ,  $-1 \leq z \leq 1$ , has radius  $a$ , height 2, and the  $z$ -axis as axis. A parametric representation is

$$\mathbf{r}(u, v) = [a \cos u, a \sin u, v] = a \cos u \mathbf{i} + a \sin u \mathbf{j} + v \mathbf{k} \quad (\text{Fig. 242}).$$

The components of  $\mathbf{r}$  are  $x = a \cos u$ ,  $y = a \sin u$ ,  $z = v$ . The parameters  $u, v$  vary in the rectangle  $R: 0 \leq u \leq 2\pi$ ,  $-1 \leq v \leq 1$  in the  $uv$ -plane. The curves  $u = \text{const}$  are vertical straight lines. The curves  $v = \text{const}$  are parallel circles. The point  $P$  in Fig. 242 corresponds to  $u = \pi/3 = 60^\circ$ ,  $v = 0.7$ . ■



**Fig. 242.** Parametric representation of a cylinder



**Fig. 243.** Parametric representation of a sphere

## Example 2

### Parametric Representation of a Sphere

A sphere  $x^2 + y^2 + z^2 = a^2$  can be represented in the form

$$(3) \quad \mathbf{r}(u, v) = a \cos v \cos u \mathbf{i} + a \cos v \sin u \mathbf{j} + a \sin v \mathbf{k}$$

where the parameters  $u, v$  vary in the rectangle  $R$  in the  $uv$ -plane given by the inequalities  $0 \leq u \leq 2\pi$ ,  $-\pi/2 \leq v \leq \pi/2$ . The components of  $\mathbf{r}$  are

$$x = a \cos v \cos u, \quad y = a \cos v \sin u, \quad z = a \sin v.$$

The curves  $u = \text{const}$  and  $v = \text{const}$  are the “meridians” and “parallels” on  $S$  (see Fig. 243). This representation is used in **geography** for measuring the latitude and longitude of points on the globe.

Another parametric representation of the sphere also used in mathematics is

$$(3^*) \quad \mathbf{r}(u, v) = a \cos u \sin v \mathbf{i} + a \sin u \sin v \mathbf{j} + a \cos v \mathbf{k}$$

where  $0 \leq u \leq 2\pi$ ,  $0 \leq v \leq \pi$ . ■

### Example 3.

#### Parametric Representation of a Cone

A circular cone  $z = \sqrt{x^2 + y^2}$ ,  $0 \leq z \leq H$  can be represented by

$$\mathbf{r}(u, v) = [u \cos v, u \sin v, u] = u \cos v \mathbf{i} + u \sin v \mathbf{j} + u \mathbf{k},$$

in components  $x = u \cos v$ ,  $y = u \sin v$ ,  $z = u$ . The parameters vary in the rectangle  $R: 0 \leq u \leq H, 0 \leq v \leq 2\pi$ . Check that  $x^2 + y^2 = z^2$ , as it should be. What are the curves  $u = \text{const}$  and  $v = \text{const}$ ? ■

Given a surface  $S$  in the parametric representation  $\vec{r} = \vec{r}(u, v)$  in (2),

$$(4) \quad \vec{N} = \vec{r}_u \times \vec{r}_v \neq \vec{0},$$

and

$$(5) \quad \vec{u} = \frac{\vec{N}}{|\vec{N}|} = \frac{\vec{r}_u \times \vec{r}_v}{|\vec{r}_u \times \vec{r}_v|}.$$

We have the following Theorem.

#### Theorem 1.

##### Tangent Plane and Surface Normal

If a surface  $S$  is given by (2) with continuous  $\mathbf{r}_u = \partial \mathbf{r} / \partial u$  and  $\mathbf{r}_v = \partial \mathbf{r} / \partial v$  satisfying (4) at every point of  $S$ , then  $S$  has, at every point  $P$ , a unique tangent plane passing through  $P$  and spanned by  $\mathbf{r}_u$  and  $\mathbf{r}_v$ , and a unique normal whose direction depends continuously on the points of  $S$ . A normal vector is given by (4) and the corresponding unit normal vector by (5). (See Fig. 244.)

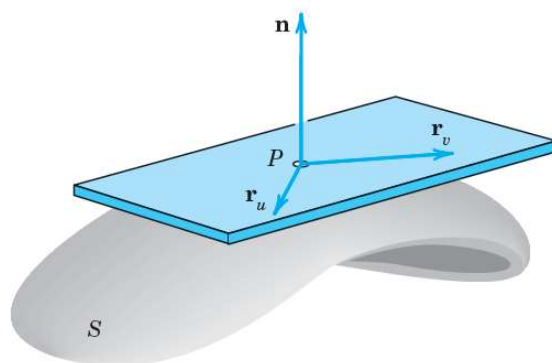


Fig. 244. Tangent plane and normal vector

A surface  $S$  is called a **smooth surface** if its surface normal depends continuously on the points of  $S$ .

$S$  is called **piecewise smooth** if it consists of finitely many smooth portions.

For instance, a sphere is smooth, and the surface of a cube is piecewise smooth

12-19  
449 Find a parametric representation and a normal vector.  
(The answer gives one of them, there are many.)

$\frac{12}{449}$  Plane  $5x + y - 3z = 30$

Solution. (2)  $\rightarrow \vec{r}(u, v) = \langle x(u, v), y(u, v), z(u, v) \rangle$   
 $\vec{r}(u, v) = \langle u, v, \frac{5u + v - 30}{3} \rangle, \quad -\infty < u < +\infty$   
 $-\infty < v < +\infty.$   
 $\vec{r}_u = \langle 1, 0, \frac{5}{3} \rangle, \quad \vec{r}_v = \langle 0, 1, \frac{1}{3} \rangle.$

$$(4) \rightarrow \vec{N} = \vec{r}_u \times \vec{r}_v = \begin{vmatrix} i & j & k \\ 1 & 0 & 5/3 \\ 0 & 1 & 1/3 \end{vmatrix}$$

$$= \begin{vmatrix} 0 & 5/3 \\ 1 & 1/3 \end{vmatrix} i - \begin{vmatrix} 1 & 5/3 \\ 0 & 1/3 \end{vmatrix} j + \begin{vmatrix} 1 & 0 \\ 0 & 1 \end{vmatrix} k$$

$$= -\frac{5}{3}i - \frac{1}{3}j + k$$

$\frac{14}{449}$  Sphere  $(x-1)^2 + (y+2)^2 + z^2 = 25$

Solution. (2)  $\rightarrow \vec{r}(u, v) = \langle x(u, v), y(u, v), z(u, v) \rangle$   
 (See Example 2)  $= \langle 1 + 5 \cos v \cos u, -2 + 5 \cos v \sin u, 5 \sin v \rangle$   
 $0 \leq u \leq 2\pi, \quad -\frac{\pi}{2} \leq v \leq \frac{\pi}{2}.$

$$\vec{r}_u = \langle -5 \cos v \sin u, 5 \cos v \cos u, 0 \rangle$$

$$\vec{r}_v = \langle -5 \sin v \cos u, -5 \sin v \sin u, 5 \cos v \rangle$$

$$(4) \rightarrow \vec{N}_1 = \begin{vmatrix} i & j & k \\ -5 \cos v \sin u & 5 \cos v \cos u & 0 \\ -5 \sin v \cos u & -5 \sin v \sin u & 5 \cos v \end{vmatrix}$$

$$\vec{N}_1 = 25 \cos^2 v \cos u i + 25 \cos^2 v \sin u j + 25 (\cos v \sin v \sin^2 u + \cos v \sin v \cos^2 u) k$$

$$\vec{N}_1 = 25 \cos^2 v \cos u i + 25 \cos^2 v \sin u j + 25 \cos v \sin v k.$$

Another method to find  $\vec{N}$  (By Theorem 2 in section 9.7).

Here  $F(x, y, z) = C \Leftrightarrow (x-1)^2 + (y+2)^2 + z^2 = 25$

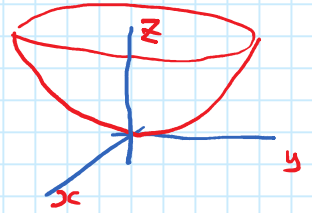
$$\vec{N}_2 = \nabla F = \langle F_x, F_y, F_z \rangle = \langle 2(x-1), 2(y+2), 2z \rangle$$

$$\vec{N}_2(u, v) = \langle 10 \cos v \cos u, 10 \cos v \sin u, 10 \sin v \rangle$$

Note that  $\vec{N}_1$  and  $\vec{N}_2$  are normal vectors of the sphere.

$$\text{In fact } \vec{N}_1(u, v) = \frac{25}{10} \cos v \vec{N}_2(u, v)$$

$$\frac{16}{449} \text{ Elliptic paraboloid } z = 4x^2 + y^2$$



$$\text{Solution. (2)} \rightarrow \vec{r}(u, v) = \langle x(u, v), y(u, v), z(u, v) \rangle$$

$$\vec{r}(u, v) = \langle u \cos v, 2u \sin v, 4u^2 \rangle, \quad -\infty < u < +\infty, 0 \leq v \leq 2\pi$$

$$\vec{r}_u = \langle \cos v, 2 \sin v, 8u \rangle \quad \vec{r}_v = \langle -u \sin v, 2u \cos v, 0 \rangle$$

$$(4) \rightarrow \vec{N}_1 = \vec{r}_u \times \vec{r}_v = \dots = \langle -16u^2 \cos v, -8u^2 \sin v, 2u \rangle$$

Another Method to find a normal vector (Using Theorem 2 of section 9.7)

$$\text{Here } F(x, y, z) = c \leftrightarrow 4x^2 + y^2 - z = 0$$

$$\vec{N}_2 = \nabla F = \langle F_x, F_y, F_z \rangle = \langle 8x, 2y, -1 \rangle$$

$$\vec{N}_2(u, v) = \langle 8u \cos v, 4u \sin v, -1 \rangle$$

$$\text{Note that } \vec{N}_1(u, v) = -2u \vec{N}_2(u, v).$$

$$\frac{18}{449} \text{ Hyperbolic Cylinder } 9x^2 - 4y^2 = 36.$$

$$\text{Solution. (2)} \rightarrow \vec{r}(u, v) = \langle x(u, v), y(u, v), z(u, v) \rangle$$

$$\vec{r}(u, v) = \langle 2 \cosh u, 3 \sinh u, v \rangle, \quad -\infty < u < +\infty, -\infty < v < +\infty$$

$$\vec{r}_u = \langle 2 \sinh u, 3 \cosh u, 0 \rangle, \quad \vec{r}_v = \langle 0, 0, 1 \rangle$$

$$(4) \rightarrow \vec{N} = \vec{r}_u \times \vec{r}_v = \langle 3 \cosh u, -2 \sinh u, 0 \rangle.$$